



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
FEB 13 2003  
TECH CENTER

Applicant: JAMES CHEN  
Serial No.: 10/091,144  
Filed: March 4, 2002

For: *NOVEL USES OF  
PHOTOLUMINESCENT  
NANOPARTICLES FOR  
PHOTODYNAMIC THERAPY*

Confirmation No.: 7692  
Art Unit: 1615  
Examiner: Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: EV 260128367 US  
Date of Deposit: February 7, 2003

I hereby certify that this paper and the attached papers are being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and addressed to:

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

Jonathan Ong

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
U.S. Patent and Trademark office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Preliminary to examination of the above-captioned patent application, please amend the application as follows:

**IN THE SPECIFICATION:**

Please amend the specification as follows (a marked-up copy of the amended specification is attached to this Amendment):

**Please replace the paragraph on page 1, lines 2-11, with the following paragraph:**

Benefit of priority under 35 U.S.C. 119(e) is claimed to U.S. provisional application Serial No. 60/272,877, filed March 2, 2001, to Chen, entitled "NOVEL USES OF PHOTOLUMINESCENT NANOPARTICLES FOR PHOTODYNAMIC THERAPY;" and to U.S. provisional application Serial No. 60/367,359, filed March 2, 2001, to Chen, entitled "NOVEL USES OF PHOTOLUMINESCENT NANOPARTICLES FOR PHOTODYNAMIC THERAPY."

The disclosures of the above-referenced applications are incorporated herein by reference in their entirety.